



**KY 8**  
**LICKING RIVER**  
**BRIDGE PROJECT**

# KY 8 Licking River Bridge

## DBE Outreach



**Certification and Prequalification**

March 12, 2025 | 9:00 AM

# Project Background

## Critical Connection

Covington and Newport, Kentucky  
Commuters | pedestrians | freight | bicyclists

## Built 1936

Exceeded design life

## Development

Replacement supports growing traveler needs



“This project represents our commitment to improving safety and connectivity for all road users now and well into the future in a region teeming with growth opportunities.”

**Governor  
Andy Beshear**





# Project Overview

## RIVER CROSSING

- Three-arch bridge
- Adding fourth lane of traffic
- Bike and pedestrian facilities
- Increasing safety for all users
- Lifts weight restrictions

## SAFETY IMPROVEMENTS

- Two, 12-foot shared-use paths (SUPs)
- SUPs separated from vehicular traffic
- ADA-compliant

# Project Overview

continued

## BRIDGE TYPE

Three slender steel arches 58-feet above road  
Spans 446 feet across Licking River  
200-feet longer than existing bridge

## BRIDGE AESTHETICS

Open bridge type that provides river and city views  
Expansive view of overall landscape  
Concrete piers for support and visual river framing

## BRIDGE FINAL DESIGN

Current bridge phase  
Arch design informs final approach configuration  
Overall design refinement following bridge design  
Design refinements made (ex. traffic calming)





“

The arch concept features a signature aesthetic design that considers the surrounding environment, including the nearby historic neighborhoods of Newport and Covington. Drivers and pedestrians can look forward to separate shared-use paths and four lanes that will accommodate traffic in this growing, vibrant region for decades to come.”

**Secretary of  
Transportation  
Jim Gray**

# Project Goals

## Safe | Efficient Crossing for All

Designed for decades to come  
For all users

## Signature Design

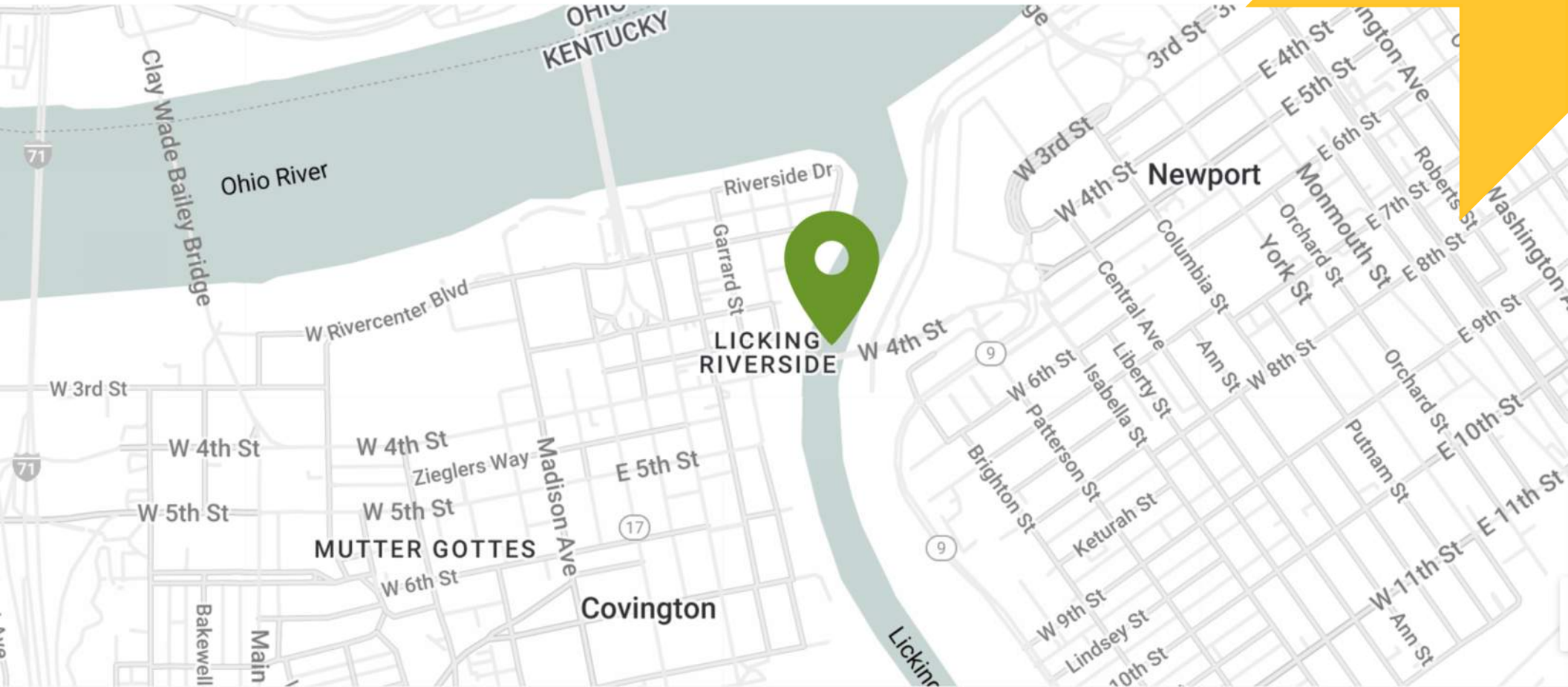
KYTC sought/gained public input  
Bridge replacement  
Functional and visually appealing

## Access During Construction

Heavy bicyclists/pedestrian traffic  
Collaborating for uninterrupted access  
Minimizing vehicular traffic impacts



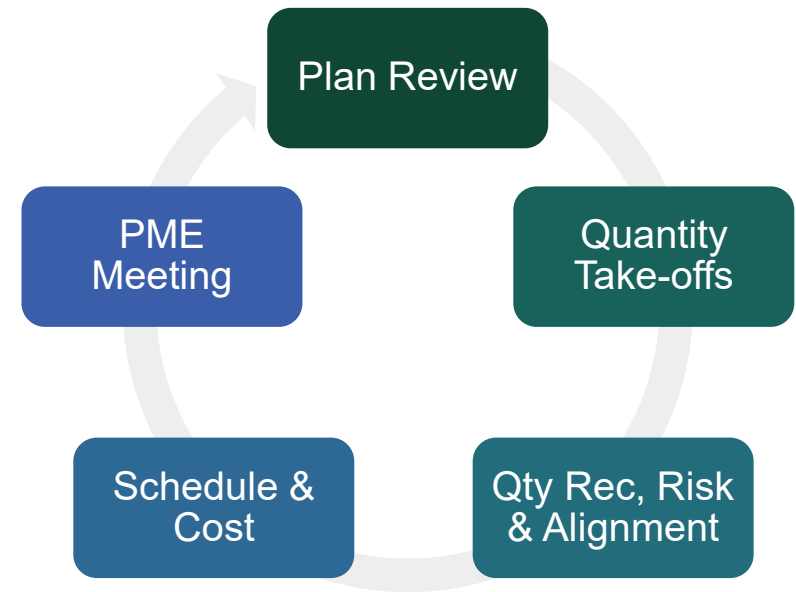
# Project Location





# PDB Process

- Process to get to construction GMP



Initial Approach to  
Cost and Schedule

Baseline Phase  
(scope and pricing  
components)

Innovations Phase:  
60-90% Design  
(optimize project scope)

GMP "Negotiations"  
- Final Design

# KYTC DBE Certification Program





# DBE vs MBE

## DBE/ACDBE

- USDOT Implemented at Federal level
- Remedy ongoing discrimination
- Addresses effects of past discrimination
- Highway/transit/airports/highway safety
- Certification is through state DOT

## WBE/MWBE

- Implemented at state level
- Remedy ongoing discrimination (state/municipal)
- Includes buildings
- Schools, convention centers, city developments
- State, local or city funded projects
- Certification through state department of finance

# DBE Program Overview

## **STATES RECEIVING USDOT FEDERAL FUNDS**

Must have a DBE Program

USDOT and FHWA provide oversight

49CFR Part 26

Codified by the Civil Rights Act of 1964

Applicable to every state

# DBE Program Requirement

## Social Disadvantage

- Women
- Black Americans
- Hispanic Americans
- Native Americans
- Asian-Pacific Americans
- Subcontinent Asian-Pacific Americans

## Economic Disadvantage

Under \$2.047 million personal net worth

## Ownership

51% Owned and Controlled by Socially and Economically Disadvantaged Individual(s)

## Control

Management and Expertise

## Small Business Administration Requirements



# KYTC DBE Certification Program

## Offerings to DBE's

Learning opportunities  
Workshops  
Information Sessions

## Goal

DBE certification  
Prequalification  
Opportunity to work on this and other important, signature projects

## Integral to Construction

Provides contracting opportunities





# Why DBE-Certification is Important

Government-funded projects

Offers opportunities for minorities and women

Rules/regulations on payment timing

Grow and diversify DBE businesses

Opens eligibility for grants

Training opportunities

# Is DBE-Certification Right for YOU?

Interest in KYTC projects?

Your services fit the transportation space

Ready to invest time and resources building industry relationships

Prepared to grow your company to meet needs in the industry

**If you answered YES**

DBE certification is an amazing opportunity for you!





# Potential Subcontractor Opportunities

**Pavement Striping**  
**Pavement Markers**  
**Utilities**  
**Traffic Control**  
**Bituminous Milling/Texturing**  
**Barrier Walls**  
**Stay-in-Place Bridge Forms**  
**Law Enforcement Officers**  
**Joint Adhesive**

**Pavement Striping Removal**  
**Shear Stud Installation**  
**Grade and Drain (Portion)**  
**Portland Cement Paving**  
**Signs**  
**Lighting**  
**Lighting**  
**Clearing and Grubbing**  
**Fencing**

**Seeding and Sodding**  
**Dense-Graded Aggregate Base**  
**Curb and Gutter**  
**Entrance Pavement**  
**Tying Steel Reinforcement**  
**Storm Drainage**  
**Storm Sewers**  
**Traffic Signal**  
**Landscaping**

# DBE Manufacturers, Suppliers, Distributors & Brokers

## Pavement

- Crushed stone
- Joint adhesive

## Roadway

- Granular embankment
- Cyclopean rip-rap
- Seed and sod
- Landscaping
- Environmental controls

## Drainage

- Storm sewer pipe

## Bridge

- Steel reinforcement
- Structural steel
- Concrete




# DBE CERTIFICATION



# DBE certification process

- DBE Application
- From the date you submit a complete application, KYTC has 90 days to process your application (30 days for interstate)
- Onsite Review at the place of business and interview of owner
- DBE Committee reviews the application and determines eligibility
- Listed on KYTC official directory
- Annual Affidavit of No change
- Certification reviewed every three years

 U.S. Department of Transportation

OMB APPROVAL NO. 2105-0586  
EXPIRATION DATE: (05/01/2027)

*IF YOU ARE ALREADY CERTIFIED AS A DBE/ACDBE, YOU DO NOT HAVE TO COMPLETE THIS APPLICATION FOR OTHER STATES. REFER TO § 26.85 of 49 CFR PART 26 FOR DETAILS ABOUT THE INTERSTATE CERTIFICATION PROCESS.*

**Section 1: CERTIFICATION INFORMATION**

**A. Basic Contact Information:**  
My firm is applying for certification as \_\_\_\_\_ DBE \_\_\_ ACDBE

(1) Contact person's name and title: \_\_\_\_\_

(2) Legal name of firm: \_\_\_\_\_

(3) Phone #: \_\_\_\_\_ (4) Other Phone #: \_\_\_\_\_ (5) Fax#: \_\_\_\_\_

(6) E-mail: \_\_\_\_\_ (7) Firm Websites: \_\_\_\_\_

(8) Street address of firm (No P.O. Box): \_\_\_\_\_ City: \_\_\_\_\_ County/Parish: \_\_\_\_\_ State: \_\_\_\_\_ Zip: \_\_\_\_\_

(9) Mailing address of firm (No P.O. Box): \_\_\_\_\_ City: \_\_\_\_\_ County/Parish: \_\_\_\_\_ State: \_\_\_\_\_ Zip: \_\_\_\_\_

**B. Prior/Other Certifications and Applications**

(10) Indicate whether the firm or any persons listed in this application have ever been:

(a) Denied certification or decertified as a DBE, ACDBE, 8(a), SDB, MBE/WBE firm? \_\_\_ Yes \_\_\_ No

(b) Withdrawn an application for these programs, or debarred or suspended or otherwise had bidding privileges denied or restricted by any state or local agency, or federal entity? \_\_\_ Yes \_\_\_ No If yes, explain the nature of the action. (If you appealed the decision to DOT or another agency, attach a copy of the decision.)

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

**Section 2: GENERAL INFORMATION**

**A. Business Profile:** (1) Give a concise description of the firm's primary activities and the product(s) or service(s) it provides. If your company offers more than one product/service, list the primary product or service first. Please use additional sheets if necessary. This description may be used in states' online databases and directories of certified firms.

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

(2) NAICS Codes for this line of work include: \_\_\_\_\_

(3) This firm was established on: \_\_\_\_\_

(4) Is the firm "for profit"? \_\_\_ Yes Federal Tax ID# \_\_\_\_\_ NO STOP If the firm is NOT for-profit, then the firm does NOT qualify for this program and should not fill out this application.

DBE/ACDBE Uniform Certification Application • Page 7 of 17

# How to apply

- Uniform Certification Application with supporting documentation
- Affidavit of Certification (Notarized)
- Personal Net Worth Statement (Notarized)
- If something does not apply, respond N/A
- Do not submit original documentation





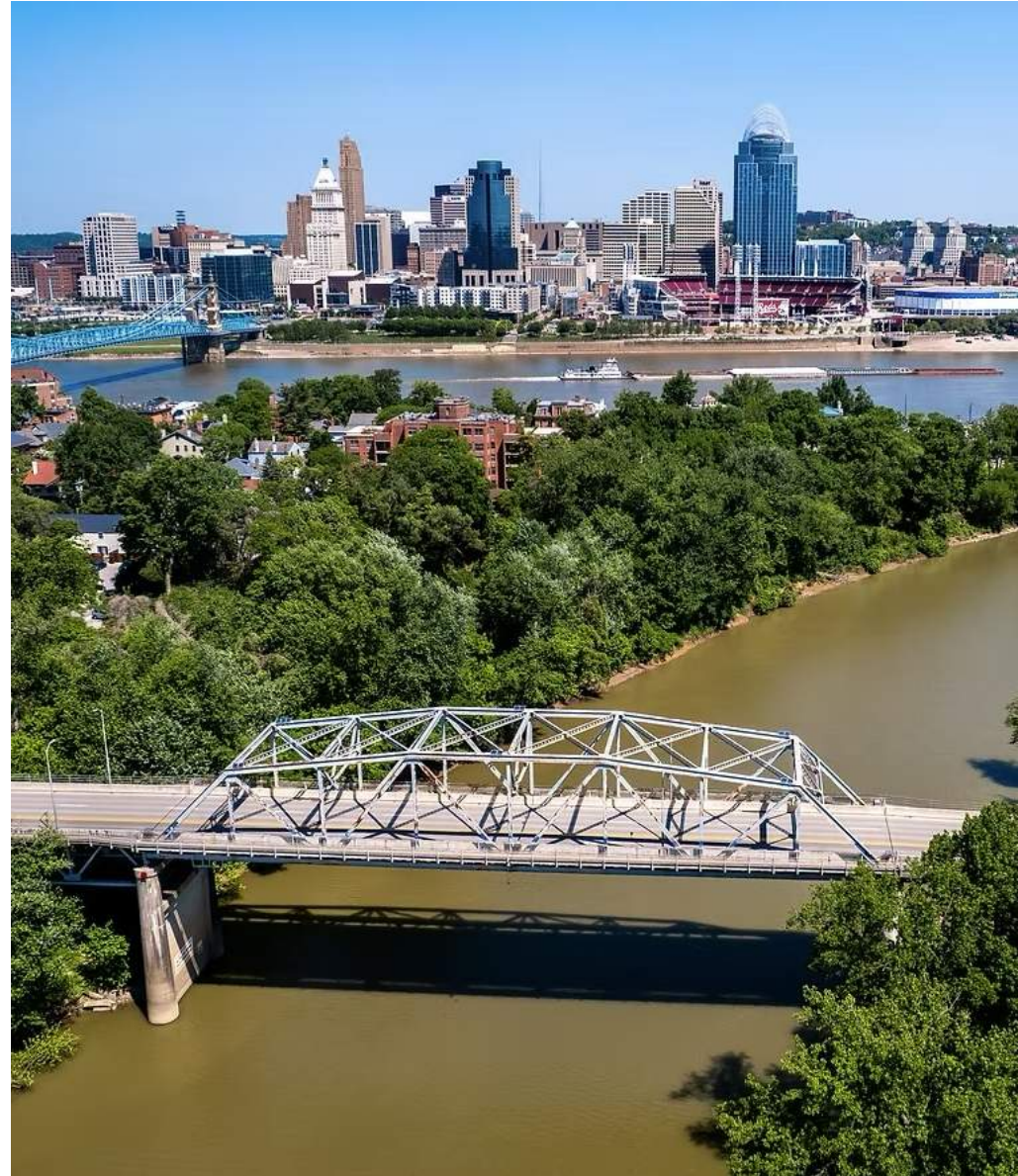
# DBE Documentation

- Resumes
- Proof of presumed social disadvantage
- Signed Personal tax returns (last three years)
- Signed Applicant Firm tax returns (last three years)
- Each owner must submit proof of ownership
- Real Estate Holdings
- Lease agreements (equipment and facilities)
- Titles and proof of insurance for equipment and vehicles
- NAICS codes applicable to requested services
- Documented transfers for the past two (2) years
- Year end balance sheet for the past three (3) years
- Relevant licenses, renewal forms and haul authority forms
- Other certifications
- Bank authorization and signatory cards
- Payroll documentation
- Trust agreements
- Spousal Affidavit (if applicable)

# Certified by NAICS Code

## North American Industry Classification System

- Six-digit numerical code used to classify businesses based on the type of good or service they provide
- DBE Certification requires that you be assigned a specific code for each type of good or service provided
- It is important to maintain proper codes because it could potentially affect DBE goal setting.
- If your business picks up additional lines of work along the way you and request to add codes (49 C.F.R. § 26.71(n))





# KYTC PREQUALIFICATION



# Contractor Prequalification

## Process for Obtaining Prequalification

- Submit Application for Certificate of Eligibility (TC 14-1)
- Application is reviewed and recommendation is made by the Prequalification Branch Manager
  - Contractor's equipment
  - Resumes of key personnel
  - Project experience of key personnel
  - Recommendation on the work types is part of the review process (Currently over 200)
  - Financial information is reviewed – Bid Capacity Rating Established
    - Bid Capacity – Increase/Decrease based upon Contractor Performance Reports submitted by Section Engineers



# Contractor Prequalification

- Monthly Construction Prequalification Committee meeting
- Construction Prequalification Committee Members
  - Director of Construction
  - Office of Legal Services
  - State Highway Engineer's Office
  - District Project Delivery and Preservation Branch Manager
- Committee reviews/makes formal recommendation to the State Highway Engineer
- The State Highway Engineer issues a Certificate of Eligibility



# Contractor prequalification



## Certificate of Eligibility

CERTIFICATE NO.

A 2015  
01234

ISSUED BY

COMMONWEALTH OF KENTUCKY  
TRANSPORTATION CABINET

This Certifies that **XYZ CONSTRUCTION COMPANY**  
123 EAST FIFTH AVENUE  
COLUMBUS, OH 54321

is hereby qualified to accept a contract or subcontract on projects of the Department of Highways for such a period as uncompleted work under prime contract at any time does not exceed the aggregate amount of **\$59,486,079**. This certificate which expires **December 31, 2015** is subject to revision or revocation, and is extended to 120 days from this expiration date. An application for renewal of this certificate must be filed within ninety days after the above date.

### TYPES OF WORK

- A GRADE AND DRAIN
- B PORTLAND CEMENT CONCRETE PAVING
- E1 BRIDGES NOT MORE THAN 70 FT. CLEAR SPAN
- E2 BRIDGES NOT MORE THAN 100 FT. CLEAR SPAN
- E3 BRIDGES 100 FT. CLEAR SPAN AND OVER
- E4 DEMOLITION OF MAJOR BRIDGES
- G LIGHTING
- I30 TRAFFIC SIGNALS
- I34 FIBER OPTIC CABLE INSTALLATION

DATE ISSUED: March 31, 2015

BY \_\_\_\_\_

STATE HIGHWAY ENGINEER  
DEPARTMENT OF HIGHWAYS

# Prequalification Information

- Prequalified Contractors List Updated Monthly
- Work Items List
- Rules and Regulations; KRS
- Policies established for specific work items



# Scheduled Workshops

- **April 2, 2025**  
**IN-PERSON DBE KYTC**  
**INFORMATION SESSION AND**  
**NETWORKING EVENT**

**2:00 PM EST**



# RESOURCES

## **KYTC WEBSITE**

<https://transportation.ky.gov/Pages/Home.aspx>

## **KYTC OFFICE FOR CIVIL RIGHTS AND SMALL BUSINESS DEVELOPMENT**

<https://transportation.ky.gov/Civil-Rights-and-Small-Business-Development/Pages/default.aspx>

## **KYTC DBE APPLICATION**

<https://bit.ly/kydbe>

## **KYTC PREQUALIFICATION**

<https://transportation.ky.gov/Construction-Procurement/Pages/Prequalification.aspx>

## **KYTC DBE SUPPORT SERVICES**

[www.kydbecom](http://www.kydbecom)



**CONNECT  
WITH  
US!**

## KYTC

**Cory Wilson**  
Project Manager  
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**Brad Putty**  
DBE Liaison Officer  
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**Sheila Eagle**  
Prequalification  
Branch Manager  
Sheila.eagle@ky.gov

## DESIGN-BUILD TEAM

**KY8 LICKING RIVER**  
Design Build Team  
ky8lickingriver@pcl.com

## PROJECT WEBSITE

<https://www.ky8bridge.org/>

# QUESTIONS ?

